

Docket Number: 38-21(15300)B

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants, the specification of which is filed herewith unless the following box is checked:

☒ was filed on January 12, 1999 ;
as United States Application Number 09/229,413 ; and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

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|----------------------------|--------------------|---------------------------------|
| _____ (Application No.) | _____ (Country) | _____ (Day/Month/Year Filed) |
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☐ Yes ☐ No

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| _____ (Application No.) | _____ (Country) | _____ (Day/Month/Year Filed) |
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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

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| <u>60/067,000</u> (Application No.) | <u>11/24/1997</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/069,472</u> (Application No.) | <u>12/09/1997</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/071,479</u> (Application No.) | <u>01/13/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,201</u> (Application No.) | <u>02/10/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,282</u> (Application No.) | <u>02/10/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,280</u> (Application No.) | <u>02/10/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,281</u> (Application No.) | <u>02/10/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |

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| <u>60/074,566</u> (Application No.) | <u>02/12/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,567</u> (Application No.) | <u>02/12/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,565</u> (Application No.) | <u>02/12/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,462</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/074,789</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,459</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,461</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,464</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,460</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/075,463</u> (Application No.) | <u>02/19/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/077,231</u> (Application No.) | <u>03/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/077,229</u> (Application No.) | <u>03/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/077,230</u> (Application No.) | <u>03/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/078,368</u> (Application No.) | <u>03/18/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/080,844</u> (Application No.) | <u>04/07/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/083,067</u> (Application No.) | <u>04/27/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/083,386</u> (Application No.) | <u>04/29/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/083,387</u> (Application No.) | <u>04/29/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/083,388</u> (Application No.) | <u>04/29/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/083,389</u> | <u>04/29/1998</u> | <u>Abandoned</u> |

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| (Application No.) | (Filing Date) | (Status) |
| <u>60/085,224</u> | <u>05/13/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/085,223</u> | <u>05/13/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/085,222</u> | <u>05/13/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/085,533</u> | <u>05/15/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,186</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,187</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,185</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,184</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,183</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/086,188</u> | <u>05/21/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,524</u> | <u>06/16/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,810</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,814</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,808</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,812</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,807</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,806</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,813</u> | <u>06/18/1998</u> | <u>Abandoned</u> |
| (Application No.) | (Filing Date) | (Status) |
| <u>60/089,811</u> | <u>06/18/1998</u> | <u>Abandoned</u> |

| (Application No.) | (Filing Date) | (Status) |
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| <u>60/089,793</u> (Application No.) | <u>06/18/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/091,405</u> (Application No.) | <u>06/30/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/091,247</u> (Application No.) | <u>06/30/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/099,667</u> (Application No.) | <u>09/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/099,668</u> (Application No.) | <u>09/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/099,670</u> (Application No.) | <u>09/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/099,697</u> (Application No.) | <u>09/09/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/100,674</u> (Application No.) | <u>09/16/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/100,673</u> (Application No.) | <u>09/16/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/100,672</u> (Application No.) | <u>09/16/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/100,963</u> (Application No.) | <u>09/17/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,131</u> (Application No.) | <u>09/21/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,132</u> (Application No.) | <u>09/21/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,130</u> (Application No.) | <u>09/21/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,508</u> (Application No.) | <u>09/22/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,344</u> (Application No.) | <u>09/22/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,347</u> (Application No.) | <u>09/22/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/101,343</u> (Application No.) | <u>09/22/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |

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| <u>60/101,707</u> (Application No.) | <u>09/25/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/104,126</u> (Application No.) | <u>10/13/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/104,128</u> (Application No.) | <u>10/13/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/104,127</u> (Application No.) | <u>10/13/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/104,124</u> (Application No.) | <u>10/13/1998</u> (Filing Date) | <u>Abandoned</u> (Status) |
| <u>60/109,018</u> (Application No.) | <u>11/18/1998</u> (Filing Date) | <u>Pending</u> (Status) |
| <u>60/111,981</u> (Application No.) | <u>12/11/1998</u> (Filing Date) | <u>Pending</u> (Status) |
| <u>60/113,224</u> (Application No.) | <u>12/22/1998</u> (Filing Date) | <u>Pending</u> (Status) |
| <u>60/108,996</u> (Application No.) | <u>11/18/1998</u> (Filing Date) | <u>Pending</u> (Status) |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

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| <u>09/199,129</u> (Application No.) | <u>11/24/1998</u> (Filing Date) | <u>Pending</u> (Status) |
| <u>09/210,297</u> (Application No.) | <u>12/08/1998</u> (Filing Date) | <u>Pending</u> (Status) |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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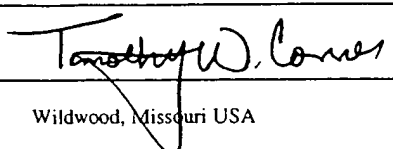
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | |
|-------------------------------------|---|---------------|
| Full name of sole or first inventor | Timothy W. Conner | |
| Inventor's signature |  | Date 10/29/99 |
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| Citizenship | USA | |
| Post Office Address | 17434 Radcliffe Drive, Wildwood Missouri 63025 | |
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| Full name of second inventor | Gregory R. Heck | |
| Second inventor's signature | | Date |
| Residence | Crystal Lake Park, Missouri USA | |
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| Post Office Address | 2200 Divot Drive, Crystal Lake Park, Missouri 63131 | |
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| Full name of third inventor | Jingdong Liu | |
| Third inventor's signature | | Date |
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Atty Docket No: 16517.254
Date: August 6, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|---------------|--|-----------|----------------|
| Applicant(s): | Timothy W. CONNER, et al. | Art Unit: | To Be Assigned |
| Serial No.: | To Be Assigned | Examiner: | To Be Assigned |
| Filing Date: | Herewith | | |
| Title: | Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants | | |

Commissioner for Patents
Washington, DC 20231
Sir:

Please place the U.S. Patent & Trademark Office receipt stamp hereon to acknowledge receipt of the following:

1. Transmittal letter;
2. Utility Patent Application Transmittal (PTO/SB/05);
3. Transmittal Fee form;
4. U.S. Non-Provisional application entitled: Nucleic Acid Molecules and Other Molecules Associated with Transcription in Plants; a specification containing: 324 pages of description prior to the claims; 3 pages of claims (9 claims); 1 page of abstract;
5. Three (3) CD-ROMs: (i) the sequence listing in computer readable form (CRF); (ii) copy 1 of the sequence listing on compact disc; and (iii) copy 2 of the sequence listing on compact disc;
6. a Statement Regarding Sequence Submission;
7. an executed Declaration and Power of Attorney executed by Timothy W. Conner (7 pages);
8. an executed Declaration and Power of Attorney executed by Gregory R. Heck (7 pages);
9. an executed Declaration and Power of Attorney executed by Jingdong Liu (7 pages);
10. a Preliminary Amendment
11. A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (PTO/SB/35);
12. check no. 136031 in the amount of \$710 to cover the basic continuation filing fee;
13. Information Disclosure Statement;
14. PTO Form-1449 (5 pages) listing 36 references; and
15. two (2) return postcards.



Return postcard to: David R. Marsh